Dirk Helbing (ETH Zurich) dhelbing@ethz.ch

> On Impacts of Disruptions of Transport and Logistic Systems





# The World Is Suffering from a Polycrisis

10 years

#### 2 years



Source: World Economic Forum, Global Risks Perception Survey 2022-2023

https://www.weforum.org/agenda/2023/01/polycrisis-global-risks-report-cost-of-living/

# Some Examples of Possible Disruptions of Transport Systems (incomplete list)

- Building sites
- Accidents
- Failures of critical infrastructures
- Terror attacks
- Earthquakes
- Extreme weather
- Supply shortages
- Cyberattacks, Internet outages
- Blackouts
- Lockdowns
- Collapse of the petrodollar fiat currency system
- Explosion of energy prices
- Economic meltdowns
- Political or military coups

# Some Examples of Possible Impacts and Who Is Concerned (incomplete list)

- Depending on the size and location of the discruption(s) and possible cascading effects, the scale of impacts can range from local to global effects, and from single entities to everyone.
- In the very worst case, the functioning of entire socities or civilizations may be at stake. Even though pretty unlikely, according to standard threat analyses, in principle supply chains and public order could break down.
- Overreactions and actionism can make things worse!

# Some Examples of Possible Impacts and Who Is Concerned (incomplete list)

- Producers
- Consumers
- Transport and logistic service providers
- Traffic participants
- Public infrastructures and institutions
- Etc.

Are the impacts unevenly distributed over different groups in society and industries?

Yes. Some people and institutions are particularly vulnerable.

Are certain impacts associated with specific transport modes, geographic areas, or institutional features, ...? Yes. But this cannot be summarized in 20 minutes.

#### How many Earths do we need

if the world's population lived like...



Our main problem is the lack of sustainability

https://commons.wikimedia.org/ wiki/File:How\_many\_earths\_20 18\_English.jpg



https://en.wikipedia.org/wiki/The\_Limits\_to\_Growth

### September 25, 2015





https://sdgs.un.org/goals

#### Big data, meet Big Brother

# China invents the digital totalitarian state

https://www.economist.com/ briefing/2016/12/17/chinainvents-the-digitaltotalitarian-state

The worrying implications of its social-credit project



# Central Bank Digital Currencies (CBDCs)



"We don't know who's using a \$100 bill today... The key difference with the CBDC is the central bank will have absolute control on the rules and regulations that will determine the use of that expression of central bank liability, and also we will have the technology to enforce that."

Agustin Carstens, General Manager, Bank for International Settlements

#### 8 11. August 2011 DIE ZEIT Nº 33





»Geld ist viel zu eindimensional«: Börsenhändler an der New York Stock Exchange

# »Es braucht ein neues Finanzsystem«

Zwei ETH-Wissenschaftler erklären, warum die Weltwirtschaft krank ist, Adam Smith unrecht hatte – und wir ganz anders über Geld nachdenken müssen

https://www.zeit.de/2011/33/CH-Oekonophysik

#### SPRINGER BRIEFS IN APPLIED SCIENCES AND TECHNOLOGY

Marcus M. Dapp Dirk Helbing Stefan Klauser *Editors* 

Finance 4.0 - Towards a Socio-Ecological Finance System A Participatory Framework to Promote Sustainability

**OPEN ACCESS** 

D Springer

https://link.springer.com/book/ 10.1007/978-3-030-71400-0

# Next Dirk Helbing cover Civilization

Draft

Digital Democracy and Socio-Ecological Finance – How to Avoid Dystopia and Upgrade Society by Digital Means

> https://link.springer.com/book/ 10.1007/978-3-030-62330-2

# Fixing the World, Yes, But How?

- Digital Enlightenment
- Peace Rooms
- Digital Democracy
- Platform for Informational Self-Determination
- City Olympics/Challenges
- Democratic Capitalism
- Finance 4.0+
- Digitally Assisted Self-Organization

Socio-Ecological Finance FIN4+: Participatory Sustainability

## Turn Wasteful Supply Chains...



Derived from:

https://www.istockphoto.com/de/vektor/kreisförmige-wirtschaft-gm510477626-86262363

## ... into a Circular Economy. But How?



https://www.istockphoto.com/de/vektor/kreisförmige-wirtschaft-gm510477626-86262363

Nature has already solved the problem of circularity. Use nature-inspired solutions!

## Use the Internet of Things!



https://smartbear.com/blog/internet-of-things-101/

### Use the Internet of Things! But in a Participatory Way!



https://smartbear.com/blog/internet-of-things-101/

# Mapping Noise and Other Externalities



https://www.newscientist.com/gallery/sonic-doom-noise-in-pictures/

# The No. 1 Principle

**Increase** positive externalities, reduce negative ones, and ensure fair compensation

# FIN4+: Sensor-Based Measurements of Externalities, Combined with Incentives



https://commons.wikimedia.org/wiki/File:Gold\_coin\_icon.png



# **Circular and Sharing Economy**



https://proclim.scnat.ch/de/current/news/uuid/i/3ab5f988-94ca-5f61-bac1-3ed39dd5edf2-Kreislaufwirtschaft\_Versorgung\_mit\_kritischen\_Rohstoffen\_und\_Indikatoren

## Can the World be Saved with a "War Room" Approach?

https://www.theguardian.com/commentisfree/2013/ sep/15/nsa-mind-keith-alexander-star-trek

Inside the mind of NSA chief Gen Keith Alexander *Glenn Greenwald* 

# A Digital Twin of the World and Its People

Sentient world: war games on the grandest scale

Sim Strife

Mark Baard



Sat 23 Jun 2007 // 09:02 UTC

Sentient World Simulation (SWS)

"provides an environment for testing Psychological Operations (PSYOP),"

the paper reads, so that military leaders can "develop and test multiple courses of action to anticipate and shape behaviors of adversaries, neutrals, and partners".

https://www.theregister.com/2007/06/23/s entient\_worlds/

# A Typical Supply Network Today



https://www.researchgate.net/publication/280158117

# A Typical Metabolic Network



Major metabolic pathways in metro-style map. Click any text (name of pathway or metabolites) to link to the corresponding article.
Single lines: pathways common to most lifeforms. Double lines: pathways not in humans (occurs in e.g. plants, fungi, prokaryotes).
Orange nodes: carbohydrate metabolism. Oviolet nodes: photosynthesis. Red nodes: cellular respiration. Pink nodes: cell signaling.
Blue nodes: amino acid metabolism. Grey nodes: vitamin and cofactor metabolism. Brown nodes: nucleotide and protein metabolism.
Green nodes: lipid metabolism.

#### https://en.wikipedia.org/wiki/Metabolic\_network



https://www.researchgate.net/ publication/365852772

https://www.nature.com/articles/ s43588-023-00431-4

Design: Javier Argota Sanchez-Vaquerizo

There is not only a Price of Anarchy.

There is also a Price of Optimization and Control! The Price of Optimization is that all goal(s) but the ones optimized for are ignored.

The Price of Control is the loss of freedom, diversity, creativity, innovation... https://www.nature.com/articles/nature12047

# PERSPECTIVE

doi:10.1038/nature12047

# Globally networked risks and how to respond

Dirk Helbing<sup>1,2</sup>

2014

Today's strongly connected, global networks have produced highly interdependent systems that we do not understand and cannot control well. These systems are vulnerable to failure at all scales, posing serious threats to society, even when external shocks are absent. As the complexity and interaction strengths in our networked world increase, man-made systems can become unstable, creating uncontrollable situations even when decision-makers are well-skilled, have all data and technology at their disposal, and do their best. To make these systems manageable, a fundamental redesign is needed. A 'Global Systems Science' might create the required knowledge and paradigm shift in thinking.

G lobalization and technological revolutions are changing our planet. Today we have a worldwide exchange of people, goods, money, information, and ideas, which has produced many new opportunities, services and benefits for humanity. At the same time, however, the underlying networks have created pathways along which dangerous and damaging events can spread rapidly and globally. This has increased systemic risks<sup>1</sup> (see Box 1). The related societal costs are huge.

When analysing today's environmental, health and financial systems or our supply chains and information and communication systems, one finds that these systems have become vulnerable on a planetary scale. They are challenged by the disruptive influences of global warming, disease outbreaks, food (distribution) shortages, financial crashes, heavy 'Global Systems Science', in order to understand better our information society with its close co-evolution of information and communication technology (ICT) and society. This effort is allied with the "Earth system science"<sup>10</sup> that now provides the prevailing approach to studying the physics, chemistry and biology of our planet. Global Systems Science wants to make the theory of complex systems applicable to the solution of global-scale problems. It will take a massively data-driven approach that builds on a serious collaboration between the natural, engineering, and social sciences, aiming at a grand integration of knowledge. This approach to real-life techno-socio-economic-environmental systems<sup>8</sup> is expected to enable new response strategies to a number of twenty-first century challenges.

### The Nodes Lose Importance ...



Created with Adobe Stock

### ... As Interaction Effects Dominate ...



Created with Adobe Stock

### ... And Network Effects Prevail



Created with Adobe Stock



Feedback effect

Cascading effect
## **Networked Risks**



## Loss of Control through Cascading Effects

Mousetrap fission, by Gerhard G. Paulus, University of Jena, https://www.youtube.com/watch?y=Wiz



## **Cascading Effects During Financial Crises**



Let us discuss the example of traffic flows in road networks!

## Let's Have A Look at the Challenge of Adaptive Traffic Light Control!

- for complex street networks
- for traffic disruptions (building sites, accidents, etc.)
- for particular events (Olympic games, pop concerts, etc.)





## Self-Organized Oscillations at Bottlenecks and Synchronization



https://journals.aps.org/pre/abstract/ 10.1103/ PhysRevE.51.4282

- Pressure-oriented, autonomous, distributed signal control:
  - Major serving direction alternates, as in pedestrian flows at intersections
  - Irregular oscillations, but 'synchronized'
- In huge street networks:
  - 'Synchronization' of traffic lights due to vehicle streams spreads over large areas



## Harnessing Complexity by Flexible Adaptation and Decentralization





Stefan Lämmer and DH

Self-regulating green waves. Patent available.

## Self-control of traffic lights and vehicle flows in urban road networks

Stefan Lämmer<sup>1</sup> and Dirk Helbing<sup>2,3</sup>

Published 16 April 2008 • IOP Publishing Ltd

Journal of Statistical Mechanics: Theory and Experiment, Volume 2008, April 2008

Citation Stefan Lämmer and Dirk Helbing J. Stat. Mech. (2008) P04019

https://iopscience.iop.org/article/10.1088/1742-5468/2008/04/P04019/meta

### Self-Stabilizing Decentralized Signal Control of Realistic, Saturated Network Traffic

Stefan Lämmer Dirk Helbing https://www.santafe.edu/research/results/workingpapers/self-stabilizing-decentralized-signal-control-of-r

## Comparing 3 Ways to Organize a Complex System



Central control, "benevolent dictator" Travel time minimization, Same, but other-regarding "homo economicus" coordination with neighbors

## Bottom-Up Self-Organization Can Outsmart Optimal Top-Down Control



Stefan Lämmer and Dirk Helbing

## Towards Self-Organized Traffic Light Control in Dresden



Stefan Lämmer and Dirk Helbing

## The Measurement and Control Area



Stefan Lämmer and Dirk Helbing

## Disturbance of Traffic Coordination by Bus and Tram Lines



https://sfi-edu.s3.amazonaws.com/sfi-edu/production/uploads/sficom/dev/uploads/filer/b4/3c/b43c20b4-7c08-489b-aa7f-a914add4e5aa/10-09-019.pdf

## Synchronize Traffic by Green Waves or Use Gaps as Opportunities?



https://sfi-edu.s3.amazonaws.com/sfi-edu/production/uploads/sficom/dev/uploads/filer/b4/3c/b43c20b4-7c08-489b-aa7f-a914add4e5aa/10-09-019.pdf

## Gain in Performance



https://sfi-edu.s3.amazonaws.com/sfi-edu/production/uploads/sficom/dev/uploads/filer/b4/3c/b43c20b4-7c08-489b-aa7f-a914add4e5aa/10-09-019.pdf

## Comparison of **Various Control Approaches**



Work with Marcin Korecki et al.

Demand Self-Organizing: Analytic+

Machine Learning: PressLight GuidedLight

Machine learning approaches use pressure as reward

## Would Machine Learning Do Any Better?



1) Self-organization approaches perform surprisingly well when compared to machine learning solutions.

2) Combining both methods deliver superior performance and much shorter convergence times.

3) Analytical is notdead – hybridapproaches are best.

https://ieeexplore.ieee.org/abstract/document/9875292

Managing Disrupted Systems: Do Approaches Based on Self-Organizing or Machine Learning Work Best?



https://www.researchgate.net/publication/366249147

## Managing Disrupted Systems: Do Approaches Based on Self-Organizing or Machine Learning Work Best?



https://www.researchgate.net/publication/366249147

## "We cannot control everything top-down"

Interview Professor Dr. Dirk Helbing, Full Professor of Sociology at the Swiss Federal Institute of Technology in Zurich (ETH) and former traffic scientist at the TU Dresden, speaks about the phenomenon of self-organization in complex systems and about the possibilities of using modern sociological insights for optimizing road traffic.

#### 4 its magazine 1/2009

https://web.archive.org/web/20210707093250/http://webarchiv.ethz. ch/soms/news/ITS\_magazine\_1-09\_S4-11\_engl\_Fokus.pdf



Alle Farben gleichzeitig wird auch die neue Ampelsteuerung nicht anzeigen. (Bild: Adobe Stock)

Pilotversuch an der Tribschenstrasse

#### Luzern prüft die Super-Ampel





Die Stadt Luzern führt kommende Woche den landesweit ersten Test mit einer neuartigen Steuerung für Lichtsignalanlagen durch. Diese berücksichtigt auch die Wartezeiten und soll für eine flüssigere Verkehrsabwicklung sorgen.



An den heutigen Ampelanlagen können Reihenfolge, Grünzeiten und Prioritäten für jede Fahrspur definiert werden. Die Wartezeiten hingegen können nur bedingt berücksichtigt werden. Dies führe auf Strecken mit hohem Busaufkommen dazu, dass Fahrzeuge auf Nebenachsen und Fussgängerinnen

und Fussgänger teilweise lange Wartezeiten in Kauf nehmen müssen. Das teilt das Tiefbauamt der Stadt Luzern mit.

Die neue Selbst-Steuerung erfasse, aus welchen Richtungen sich wie viele Fahrzeuge der Kreuzung nähern. Sekündlich wird neu berechnet, mit welchen Grünzeiten die wenigsten Fahrzeuge anhalten und warten müssen. Der Verkehrsablauf wird dadurch – bisher zumindest im Modell – laufend optimiert. Durch den flüssigeren Ablauf des Verkehrs soll auch der Schadstoffausstoss reduziert werden.

— Anzeige —

#### Test entscheidet über definitive Einführung

Im Pilotbetrieb in der Stadt Luzern muss sich zeigen, ob die Super-Ampel auch in der Praxis funktioniert. Für den Praxistest wählte die Stadt Luzern eine Teststrecke bei der Langensandbrücke: Die beiden Lichtsignalanlagen an den Kreuzungen Tribschen-/Kellerstrasse und Tribschen-/ Werkhofstrasse werden vom Montag, 21., bis Sonntag, 27. Oktober, mit dem neuen Steuerverfahren betrieben. Der Pilotversuch wird von der ETH Zürich mit einer Wirkungsuntersuchung begleitet. Ist der Praxistext erfolgreich, wird die Stadt Luzern die neue Steuerung an diesen beiden Lichtsignalanlagen definitiv einführen.

#### https://www.zentralplus.ch/news/luzern-prueft-die-super-ampel-1635723/

#### Wirkungsanalyse Selbst-Steuerung





#### Author

Genser, Alexander (1) Neuenschwander, Marco Kouvelas, Anastasios (1)

#### Date

2020-10

#### Type Report

ETH Bibliography yes Download Full text (PDF, 12.47Mb) 🔸

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#### Abstract

Im Zuge dieses Forschungsprojektes wurden zwei LSA-Steuerungen in einem Untersuchungsgebiet in der Stadt Luzern untersucht. Dabei wurde die bestehende Steuerung (VS-PLUS) mit der neuartigen Selbst-Steuerung für den FussgängerInnenverkehr (FV), den öffentlichen Verkehr (ÖV) und den motorisierten Ind Show more +

Permanent link https://doi.org/10.3929/ethz-b-000456701

Publication status published

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Organisational unit 08686 - Gruppe Strassenverkehrstechnik 🗸

https://www.research-collection.ethz.ch/handle/20.500.11850/456701

MEHR GRÜN FÜR ALLE

#### Dank Superampel müssen alle weniger lang warten

Das dürfte alle Verkehrsteilnehmer freuen: In Luzern wurde eine neue Ampelsteuerung getestet. Fazit: Alle kommen schneller durch den Verkehr.



#### Stefan Lämmer



Die Stadt Luzern hat in einem Pilotversuch ein neues Ampelsteuerungssystem getestet.  $\mathsf{g}_{\mathsf{W}^3}$ 

https://www.20min.ch/story/dank-superampelmuessen-alle-weniger-lang-warten-797835241323 What does this mean for the management of a complex world? Resilient systems design and operation



## Drivers of Systemic Risk and How to Respond

#### Drivers of systemic risks:

- less redundancies
- more networking
- higher complexity
- faster dynamics
- high pace of innovation



- Systemic resilience can be increased by suitably designed mechanisms and systems, protection mechanisms:
- backup strategies, redundancies, reserves, alternatives (,plan B')
- simplification, limitation of system size
- less connectivity, decoupling strategies
- diversity
- real-time measurements and adaptive feedback, enabling self-regulation, e.g. coordination mechanisms
- transparency and awareness
- accountability and responsibility
- suitable incentives
- collective intelligence

Diversity Decentralization Modular Design Distributed Contro Subsidiarity

## Strengthening Strong Links Does Not Help!



https://www.flickr.com/photos/val\_s/8603033695

## Better Performance of Complex Systems by More Autonomy and Suitable Interaction Rules



If complex dynamical systems vary a lot, are hard to predict and cannot be optimized in real-time, distributed control can outperform top-down control attempts by flexibly adapting to local conditions and needs.

(Windt, Böse, Philipp, 2006)

### **Disaster Response**



"I can do this!"

## Participatory Disaster Response



**Helping Hands** 

#### **Charge Beacon**

Solar Charging Stations for emergency power and communication network





#### amigocloud

## Increasing Resilience through Systemic Innovation



https://www.sciencedirect.com/science/article/pii/S2210670722002633



https://www.sciencedirect.com/science/article/pii/S2210670722002633

# Introducing participatory fairness in emergency communication can support self-organization for survival

Indushree Banerjee<sup>1,\*</sup>, Martijn Warnier<sup>1</sup>, Frances M.T. Brazier<sup>1</sup>, and Dirk Helbing<sup>2,\*</sup>

<sup>1</sup>TU Delft, Systems engineering and Simulations, Delft, 2628 BX, The Netherlands <sup>2</sup>ETHZ, Computational Social Sciences, Zürich, 8092, Switzerland \*i.banerjee@tudelft.nl

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#### ABSTRACT

Participatory resilience of disaster-struck communities requires reliable communication for self-organized rescue, as conventional communication infrastructure is damaged. Disasters often lead to blackouts preventing citizens from charging their phones, leading to disparity in battery charges and a digital divide in communication opportunities. We propose a value-based emergency communication system based on participatory fairness, ensuring equal communication opportunities for all, regardless of inequality in battery charge. The proposed infrastructure-less emergency communication network automatically and dynamically (i) assigns high-battery phones as hubs, (ii) adapts the topology to changing battery charges, and (iii) self-organizes to remain robust and reliable when links fail or phones leave the network. The novelty of the proposed mobile protocol compared to mesh communication networks is demonstrated by comparative agent-based simulations. An evaluation using the Gini coefficient demonstrates that our network design results in fairer participation of all devices and a longer network lifetime, benefiting the community and its participants.

#### https://www.nature.com/articles/s41598-021-86635-y
### Battery charge inequality over 72 hours



https://www.nature.com/articles/s41598-021-86635-y



https://www.nature.com/articles/s41598-021-86635-y

# FuturICT: Simulator stellt Weltbild auf den Kopf

Lösung globaler Probleme braucht Verstehen unsichtbarer Interaktionen

#### Galileo 2.0

Um komplexe Syteme zu verstehen, ist ein Paradigmenwechsel erforderlich. "Wir brauchen ein Umdenken weg von der sichtbaren Welt der Komponenten, hin zur unsichtbaren, nur indirekt erschließbaren Welt der Interaktionen. Dieses Umdenken wird vielleicht so radikal sein wie der Wandel vom geozentrischen zum heliozentrischen Weltbild", betont der ETH-Forscher.

Während ohne Letzterem die moderne Physik oder Satelliten im All kaum vorstellbar gewesen wäre, sei das neue Umdenken für die Lösung globaler Herausforderungen wie etwa die Finanzkrise nötig. "Wenn wir die Interaktionen richtig wählen, können wir die Tendenz zur Selbstorganisation nutzen. Das läuft auf mehr Bottom-Up-Ansätze heraus, für die es aber die richtigen Spielregeln braucht. Diese gilt es in Simulationen zu erforschen."

#### Ampeln steuern sich selbst

https://www.pressetext.com/news/20111022001

## «An ungelöste Probleme gingen wir mit dem falschen Verständnis heran»

Komplexitätsforscher Dirk Helbing über Gewaltkonflikte, Epidemien und Datenmissbrauch



Gaza-Konflikt: Gewalt erzeugt Racheaktion

The world did not sufficiently allow for fundamental socioeconomic innovation, thereby producing the perfect recipe for disaster and polycrisis...

What is needed are empowerment, coordination, and self-organization supported by digital assistance.